



Personality and self-esteem development across the life span

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Over the past few decades, there has been an explosion of longitudinal research on the consistency of personality and related constructs such as self-esteem. This plethora of studies has provided sufficient evidence to move researchers toward consensus about the degree to which personality characteristics change over the life course. The emerging story, based on an accumulating body of empirical research, is that personality and self-esteem show remarkable continuity given the vast array of experiences that impinge upon a lived life. At the same time, research also reveals

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46 that personality and self-esteem show important and systematic changes that are
47 meaningfully connected to particular life experiences and contexts.

48 In the first section of this chapter, we review longitudinal evidence that
49 personality and self-esteem show moderate levels of rank-order stability across the
50 life span. This moderate level of stability demonstrates continuity in the way that
51 individuals behave, think, and feel as they age. However, this continuity does not
52 imply that personality and self-esteem are immutable.

53 Indeed, most studies of personality and self-esteem development reveal interesting
54 patterns of normative change. These systematic normative changes illustrate the role
55 of personality and self as organizational constructs that influence how individuals
56 orient their behavior to meet environmental demands and new developmental
57 challenges (Funder, 1991). In turn, personality and self-esteem are developmental
58 constructs in that they demonstrate changes across the life course (Roberts and
59 Caspi, 2001; Robins, Trzesniewski, Tracy, Gosling, and Potter, 2002), often in
60 response to the environments being mastered (Roberts, 1997). Thus, as individuals
61 experience normative developmental transitions, normative changes in related areas
62 of personality and the self will occur. In the second part of this chapter, we review
63 cross-sectional and longitudinal research on the normative development of
64 personality and self-esteem across the life span.

65 Normative patterns of change imply a dynamic relation between life events and
66 personality. However, not everyone experiences the same life events at the same time,
67 and consequently there are individual differences in personality and self-esteem
68 change. In the last section of the chapter, we review findings from longitudinal
69 studies that have investigated the impact of socio-contextual factors on personality
70 and self-esteem change. In this section, we explore how different life experiences
71 across generations and across individuals lead to varying patterns of personality and
72 self-esteem change.

73 74 75 **1. Rank-order stability of personality and self-esteem across the life span**

76
77 We first consider the rank-order stability of personality and self-esteem from
78 childhood through adulthood. Rank-order stability is typically assessed using test-
79 retest correlations (i.e. the correlation between scores across two time points). Test-
80 retest correlations reflect the degree to which the relative ordering of individuals is
81 maintained over time; that is, individuals who are high (or low) relative to others at
82 Time 1 remain high (or low) relative to others at Time 2.

83 High rank-order stability indicates that (a) individuals did not change much over
84 time *or* (b) individuals changed over time, but in more or less the same way (i.e.
85 everyone increased or decreased to the same extent). The latter situation (“b”) can
86 occur when a normative developmental event such as puberty impacts all individuals
87 in the same way (e.g. if puberty causes everyone to decline in self-esteem by the same
88 amount). Low rank-order stability indicates that individuals changed over time *and*
89 there were individual differences in the direction of change (i.e. some individuals
90 increased while others decreased). This situation can occur when non-normative

91 developmental events impact the trait being studied (e.g. if some individuals
92 experience parental divorce and decline in self-esteem whereas others do not
93 experience parental divorce and maintain the same self-esteem level). Low rank-
94 order stability can also occur when the factors that influence the trait are normative
95 but individuals have unique reactions to these events (e.g. if puberty causes some
96 individuals to increase in self-esteem but causes others to decrease in self-esteem).
97 Finally, a low test–retest correlation could simply reflect measurement error; less
98 reliable measures will show lower test–retest correlations.

99 Below we review the evidence for the rank-order stability of personality and self-
100 esteem, drawing heavily on the findings of two recent meta-analyses (Roberts and
101 DelVecchio, 2000; Trzesniewski et al., in press).

102

103

104 1.1. Personality

105

106 Over the past few decades, researchers have debated the degree to which
107 individual differences in personality are stable across the life span. Two
108 contradictory perspectives have been advanced. The *classical trait perspective* states
109 that personality traits are highly heritable, biologically based “temperaments” that
110 are relatively impervious to the influence of the environment during adulthood
111 (McCrae et al., 2000). From this “essentialist” perspective, we would expect to find
112 high rank-order stability, particularly after age 30, when personality is assumed to
113 become “set like plaster” (Costa and McCrae, 1994, p. xx). In contrast, the
114 *contextual perspective* emphasizes the importance of life changes and role transitions
115 in personality development and suggests that personality should be fluid, prone to
116 change, and yield low test–retest correlation coefficients, particularly during
117 adolescence and young adulthood (Lewis, 1999).

118 Existing longitudinal studies do not support either of these extreme positions. The
119 findings of a recent meta-analysis of the rank-order stability of personality confirmed
120 several general conclusions (Roberts and DelVecchio, 2000). First, rank-order
121 stability is not as high as the classical trait perspective claims, nor as low as the
122 contextual perspective suggests. Overall, test–retest correlations are moderate in
123 magnitude; across all age groups, the median test–retest correlation (unadjusted for
124 measurement error) was 0.50. Thus, the magnitude of rank-order stability, although
125 far from perfect, is still remarkably high. The only psychological construct more
126 consistent than personality is cognitive ability (Conley, 1984).

127 Second, rank-order stability generally increased across the life span. Test–retest
128 correlations (unadjusted for measurement error) increased from 0.41 in childhood to
129 0.55 at age 30, and then reached a plateau around 0.70 between ages 50 and 70 (see
130 Fig. 1). This developmental trend points to several interesting findings. Most
131 notably, the level of continuity in childhood and adolescence is much higher than
132 originally expected (cf. Lewis, 1999, 2001). Although childhood character is by no
133 means fate, there are striking continuities that point to the importance of childhood
134 temperament and the effects of cumulative continuity from childhood through
135 adulthood (Caspi, 2000). Even more impressive is the fact that the level of

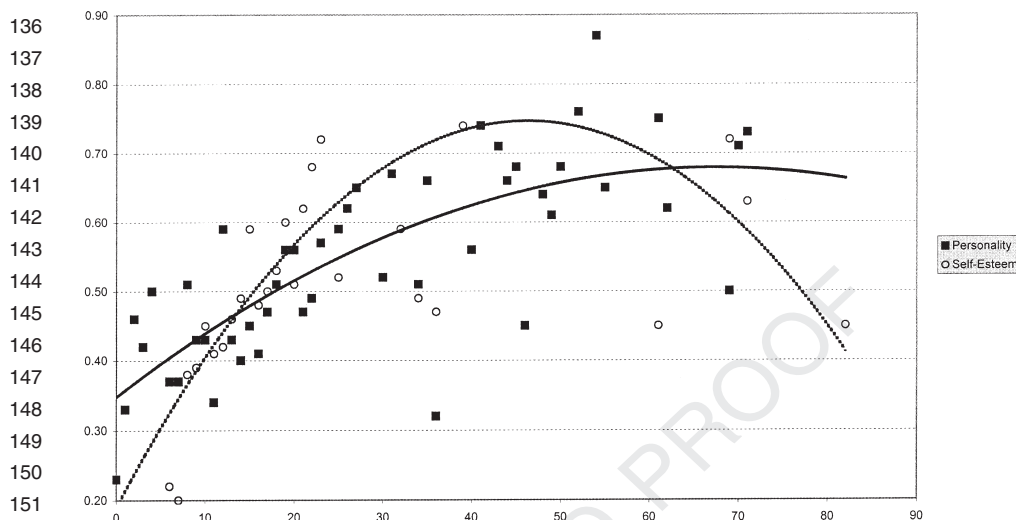


Fig. 1

consistency increases in a relatively linear fashion through adolescence and young adulthood. Young adulthood has been described as demographically dense, such that people make more life-changing decisions (to marry, one's career, children, etc.) during this period than at any other time in the life course (Rindfuss, 1991; Arnett, 2000). Yet, despite these dramatic demographic shifts, personality differences remain remarkably consistent. Finally, the developmental trend in stability suggests that personality continuity in adulthood peaks later than expected. Contrary to the claim that personality traits are essentially fixed and unchanging after age 30 (McCrae and Costa, 1994), the meta-analytic findings show that rank-order stability peaks some time after age 50, but at a level well below unity. Thus, personality traits continue to change throughout adulthood, but only modestly after age 50.

Third, rank-order stability did not vary markedly across the Big Five trait domains, across different assessment methods (i.e. self-reports, observer ratings, and projective tests), or by gender. Moreover, the levels of consistency found in this meta-analysis replicated smaller studies dating back to 1941 (Crook, 1943; Conley, 1984; Schuerger et al., 1989). Apparently, there have been few if any cohort shifts in the level of rank-order stability in personality traits over the past 60 years.

1.2. Self-esteem

Mirroring the debate that occurred in personality psychology, some researchers have argued that self-esteem is a trait-like construct that remains relatively stable over time (e.g. Coopersmith, 1967; Rosenberg, 1986), whereas others have argued that self-esteem should be conceptualized as a state-like process that continually fluctuates in response to environmental stimuli (e.g. Kernis et al., 1992;

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181 [Leary and Baumeister, 2000](#)). If the long-term stability of self-esteem is much lower
182 than other trait-like constructs (e.g. personality traits), then self-esteem should not
183 be considered a stable individual-differences construct and should not be used to
184 predict future behavior ([Conley, 1984](#)).

185 Again, a recent meta-analysis has helped to clarify the debate. [Trzesniewski et al.](#)
186 (in press) examined the rank-order stability of self-esteem using data from
187 50 published articles ($N=29,839$). Overall, the findings support the view that
188 self-esteem is a stable individual-difference construct. Test–retest correlations
189 are moderate in magnitude and comparable to those found for personality traits;
190 across all age groups, the median correlation (unadjusted for measurement error)
191 was 0.47.

192 In contrast to personality, which showed an increasing linear trend, the
193 rank-order stability of self-esteem showed a robust curvilinear trend (see [Fig. 1](#)): self-
194 esteem stability (uncorrected for measurement error) was relatively low during
195 early childhood (0.40), increased throughout adolescence (0.51) and early adulthood
196 (0.55 during the college years and 0.65 during the 20s), and then declined during
197 midlife (0.55) and old age (0.48). This curvilinear trend could not be explained by
198 age differences in the reliability of self-esteem measures and generally replicated
199 across different self-esteem scales, gender, ethnicity (Caucasian vs. African-
200 American), nationality (U.S. vs. non-U.S.), and the year the study was conducted.

201 Several aspects of these findings are noteworthy. First, self-esteem was least stable
202 during childhood. Although the analyses rule out low reliability (defined in terms of
203 internal consistency) as an explanation for this effect, it is possible that the stabilities
204 during childhood were attenuated by other measurement factors, such as poor
205 validity of self-esteem scales for this age group.

206 Second, self-esteem stability decreased from adulthood to old age. This decline
207 may reflect the dramatic life changes and shifting social circumstances that
208 characterize later adulthood and old age. For example, normative life events such
209 as children moving out of the home, retirement, and death of a loved one, may
210 lead to changes in social roles and corresponding shifts in identity during old age.
211 In addition, maturational changes again become common, such as health
212 problems, which can result in greater dependency on others and reduced feelings
213 of personal agency. These changes may challenge some individuals' view of
214 themselves and thus produce idiosyncratic changes that reduce the stability of self-
215 esteem. Another possibility is that as individuals age they may begin to review
216 their lifelong accomplishments and experiences, leading in some cases to more
217 critical self-appraisals and in other cases to greater acceptance of their faults
218 and limitations. This process would be consistent with [Erikson's \(1985\)](#) notion of
219 old age as a time when people reflect on their lived life. Some individuals may
220 decide that their life has been a success (i.e. develop ego integrity) and thus
221 maintain or increase their self-esteem whereas others may decide that they have
222 failed (i.e. suffer despair) and experience a decline in self-esteem. Thus, a
223 developmental shift toward greater self-reflection in old age may produce increases
224 in self-esteem for some individuals but decreases for others, contributing to lower
225 levels of stability.

226 Third, at no age did the stability of self-esteem reach unity, even after
227 disattenuating the test-retest correlations for measurement error. Thus, self-esteem
228 continues to change across the life span. The evidence suggests that self-esteem,
229 much like personality traits, is never set like plaster and, in fact, shows even lower
230 levels of stability in old age.

231 What are the implications of these findings for self-esteem's position in the
232 hierarchy of consistency (e.g. Kelly, 1955; Conley, 1984)? Roberts and DelVecchio's
233 (2000) meta-analysis of personality trait stability provides a useful comparison point.
234 In general, self-esteem and personality traits show similar levels of stability (see
235 Fig. 1). Roberts and DelVecchio (2000) reported uncorrected personality test-retest
236 correlations (controlling for time interval) of 0.43 in childhood, 0.44 in adolescence,
237 0.54 during the college years, 0.60 for ages 22 to 29, and 0.64 during the 30s.
238 Similarly, Trzesniewski et al. (in press) found uncorrected correlations (controlling
239 for time interval) of 0.40 in childhood, 0.48 in adolescence, 0.55 during the college
240 years, 0.65 for ages 22 to 29, and 0.62 during the 30s. However, Roberts and
241 DelVecchio (2000) reported a test-retest correlation of 0.74 for adults between the
242 ages of 50 and 70 whereas we found a test-retest correlation of 0.49 for this same
243 age range.

244 Considering the similarity in the stability of self-esteem and personality through-
245 out most of the life span, this large divergence during late adulthood seems puzzling.
246 It is possible that a developmental shift toward greater self-reflection during
247 late adulthood and old age produces changes in self-esteem, but not in basic
248 personality traits. Indeed, reflecting on the overall worthiness of your life may
249 change your self-esteem but it is not likely to have a powerful impact on how
250 sociable, conscientious, and creative you are.

251 In summary, for both personality and self-esteem neither extreme perspec-
252 tive was supported: both constructs showed moderate stability overall and increasing
253 stability from childhood to adulthood. Interestingly, at no time did the
254 stability of personality or self-esteem reach unity. The evidence suggests that
255 personality and self-esteem never become set like plaster. Nonetheless, we did find
256 substantial levels of continuity across decades of life, suggesting that personality
257 and self-esteem are best characterized as showing both continuity and change across
258 the life span.

259
260

261 **2. Mean-level changes in personality and self-esteem across the** 262 **life course**

263

264 In the previous section we showed that personality and self-esteem show moderate
265 levels of continuity across the life span when continuity is defined by rank-order
266 stability. We next discuss research in which continuity is defined by mean-level
267 changes. Mean-level change refers to changes in the average trait level of a
268 population, and is typically assessed by mean-level differences in specific traits over
269 time, which indicates whether the sample as a whole is increasing or decreasing on a
270 trait. Mean-level change is conceptually and statistically distinct from rank-order

271 stability (e.g. Caspi and Roberts, 1999; Robins et al., 2001). For example, indivi-
272 duals in a sample could increase substantially on a trait but the rank ordering
273 of individuals would be maintained if everyone increased by the same amount.
274 Similarly, the rank ordering of individuals in a sample could change substantially
275 over time without producing any aggregate increases or decreases (e.g. if the number
276 of people who decreased offset the number of people who increased). Mean-level
277 changes result from maturational processes or social-contextual factors that
278 influence a population.

279

280

281 *2.1. Personality*

282

283 In this section, we summarize and expand upon our previous extensive review of
284 mean-level changes in personality traits across the life course using the Big Five
285 taxonomy as an organizing framework (Roberts, Robins, Caspi, and Trzesniewski,
286 in press). The Big Five taxonomy is one of the most significant developments in the
287 field of personality psychology in the last few decades. Many personality researchers
288 now believe that the majority of personality traits can be categorized into five broad
289 superordinate categories: extraversion, agreeableness, conscientiousness, neuroticism
290 (or its opposite emotional stability), and openness to experience. One of the primary
291 advantages of the Big Five framework is its ability to organize previous research
292 findings on the development of personality traits into a manageable number of
293 conceptually coherent domains. So, rather than review the voluminous literature on
294 mean-level change for all possible traits, we can examine the evidence within these
295 five broad domains.

296 Extraversion refers to individual differences in the propensity to be sociable,
297 active, assertive, and to experience positive affect (John and Srivastava, 1999).
298 Roberts et al. (in press) report conflicting patterns of findings across cross-sectional
299 and longitudinal studies that track changes in extraversion. Some cross-sectional and
300 longitudinal studies show decreases with age (Field and Millsap, 1991; McCrae et al.,
301 1999; Lamb et al., 2002), whereas others show no change in measures of extraversion
302 in young adulthood (Robins et al., 2001), middle age (Pedersen and Reynolds, 1998),
303 or old age (Nilsson and Persson, 1984). Some studies have even found increases in
304 extraversion during college and early adulthood (e.g. Haan et al., 1986; Vaidya et al.,
305 in press). One possible explanation for the inconsistency could be a gender difference.
306 Two studies that examined gender differences found that women decreased in
307 extraversion and men increased from late adolescence to late adulthood (Goldberg
308 et al., 1998; Srivastava et al., 2002).

309 A second possibility is that inconsistencies in the literature are due to the
310 multifaceted nature of extraversion. Helson and Kwan (2000) have argued for
311 dividing extraversion into two constituent elements: social dominance and social
312 vitality. Social dominance reflects traits such as dominance, independence, and self-
313 confidence, especially in social contexts. Social vitality corresponds more closely to
314 traits like sociability, positive affect, gregariousness, and energy level. Roberts et al.
315 (in press) report that studies measuring both facets have found divergent trajectories

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316 for social dominance and social vitality in cross-sectional (e.g. [Goldberg et al., 1998](#))
317 and longitudinal studies ([Helson et al., 2002](#)). A meta-analysis of the existing
318 literature could provide a clearer picture of the overall extraversion trajectory and
319 help determine whether the facets of extraversion show divergent developmental
320 paths.

321 The second domain of the Big Five, Agreeableness, refers to traits that reflect
322 individual differences in the propensity to be altruistic, trusting, modest, and warm
323 ([John and Srivastava, 1999](#)). [Roberts et al. \(in press\)](#) report consistent evidence for
324 increases in agreeableness. Several large cross-sectional studies have shown that
325 agreeableness increases with age (e.g. [Goldberg et al., 1998](#); [McCrae et al., 1999](#)). In
326 addition, longitudinal studies have reported increases in agreeableness in young
327 adulthood ([Stein et al., 1986](#)), middle age ([Haan et al., 1986](#)), and old age ([Field and](#)
328 [Millsap, 1991](#)). The finding that agreeableness increases across the life course was
329 substantiated by the largest cross-sectional study performed to date ([Srivastava et al.,](#)
330 [2002](#)). [Srivastava et al. \(2002\)](#) found that agreeableness increased from age 21 to 60
331 with accelerated growth occurring between the late 20s and the 40s.

332 Conscientiousness, the third Big Five domain, refers to the propensity to be
333 self-controlled, task-oriented, goal-directed, planful, and rule-following ([John](#)
334 [and Srivastava, 1999](#)). Like agreeableness, conscientiousness seems to increase
335 with age ([Roberts et al., in press](#)). Several cross-sectional studies have found a
336 positive relationship between age and conscientiousness (e.g. [Goldberg et al., 1998](#);
337 [McCrae et al., 1999](#)). Closer examination of the age trajectory shows that
338 although conscientiousness increases throughout the life course, most of the growth
339 occurs in the 20s and then slows throughout the 30s, 40s and 50s ([Srivastava et al.,](#)
340 [2002](#)).

341 Longitudinal research supports the inference that conscientiousness increases
342 most in young adulthood and tapers off in middle and old age. For example, the
343 evidence for increasing conscientiousness is most consistent in young adulthood
344 across numerous longitudinal studies (e.g. [Haan et al., 1986](#); [Stein et al., 1986](#);
345 [Helson and Moane, 1987](#); [McGue et al., 1993](#); [Roberts et al., 2001](#); [Robins et al.,](#)
346 [2001](#); [Vaidya et al., in press](#)). In contrast, longitudinal studies tracking change in
347 conscientiousness in middle age and beyond show somewhat contradictory patterns.
348 Some studies show increases ([Helson and Wink, 1992](#); [Cartwright and Wink, 1994](#)),
349 whereas others find decreases ([Costa et al., 2000](#)). More recently, [Helson et al. \(2002\)](#)
350 examined several facets of conscientiousness in a sample of 21- to 75-year-
351 olds followed for up to 40 years, and found that four facets increased with age
352 (self-control, good impression, achievement via conformance, and inflexibility) and
353 two facets decreased (responsibility and socialization).

354 In summary, previous studies consistently show increases in conscienti-
355 ousness during adolescence and early adulthood and a majority of studies show
356 increases in conscientiousness during adulthood and old age. However, more
357 research is needed throughout adulthood and later life before firm conclusions
358 can be made about how conscientiousness changes beyond age 30. In particular,
359 research is needed that tracks the developmental trajectory of specific facets of
360 conscientiousness.

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361 The fourth domain of the Big Five is Neuroticism or its converse, Emotional
362 Stability. This domain contrasts even-temperedness with the experience of anxiety,
363 worry, anger, and depression (John and Srivastava, 1999). Findings from several
364 large cross-sectional studies suggest that neuroticism decreases with age from late
365 adolescence to old age (Goldberg et al., 1998; McCrae et al., 1999). However,
366 Carstensen et al. (2000) found a curvilinear developmental trajectory for
367 negative emotions: decreasing neuroticism up to age 60 and then a slight increase
368 after age 60.

369 Roberts et al. (in press) reported that the longitudinal evidence points to
370 declines in neuroticism during late adolescence and early adulthood (Baltes and
371 Nesselrode, 1972; McGue et al., 1993; Carmichael and McGue, 1994; Viken et al.,
372 1994; Watson and Walker, 1996; Roberts et al., 2001; Robins et al., 2001) and
373 midlife (Costa et al., 2000). However, the evidence for change in old age is equivocal
374 with many studies showing no change (Field and Millsap, 1991) or increases (Leon
375 et al., 1979).

376 In summary, neuroticism tends to decrease from adolescence to midlife and then
377 remains stable or slightly increases during old age. However, some studies have
378 found a gender difference in the neuroticism trajectory. Both Goldberg et al. (1998)
379 and Srivastava et al. (2002) found a stronger decline in neuroticism for women than
380 for men. Thus, it is possible that some of the inconsistencies found in previous
381 research may be due to underlying gender differences. More research is needed to
382 examine the role of gender before firm conclusions can be made about the trajectory
383 of neuroticism across the life course.

384 The final domain of personality traits is Openness to experience, which refers to
385 individual differences in the propensity to be original, complex, creative, and open to
386 new ideas (John and Srivastava, 1999). Roberts et al. (in press) report that cross-
387 sectional studies do not provide a clear picture of age differences in openness to
388 experience. Costa and McCrae (1988) and McCrae et al. (1999, 2000) found a
389 negative relation between openness to experience and age, in samples drawn from
390 nine countries. Similarly, Srivastava et al. (2002) found that openness to experience
391 decreased from age 21 to 60. However, Goldberg et al. (1998) and Helson and Kwan
392 (2000) did not find any significant relation between measures of openness to
393 experience and age in samples ranging in age from 18 to 75.

394 Unfortunately, longitudinal studies do little to clarify the nature of age-related
395 changes in openness to experience. Studies of adolescents and young adults generally
396 show increases in openness to experience (Baltes and Nesselrode, 1972; Robins
397 et al., 2001; Vaidya et al., in press); however, the evidence for increases in openness
398 during this age period is confounded by the fact that all of these studies tracked
399 personality change in college students who are ostensibly being socialized to be more
400 open to experience (Sanford, 1956). Beyond the college years, Roberts et al. (in press)
401 report that the evidence is equivocal, with some studies demonstrating increases
402 (Helson et al., 2002), some decreases (Field and Millsap, 1991), and others no change
403 (Costa and McCrae, 1988).

404 Thus, a murky picture emerges with regard to mean-level changes in openness
405 to experience. It is possible that the relation between age and openness is non-linear

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406 or that the same construct is not being measured across studies or age periods. Like
407 other Big Five dimensions, future developmental research might benefit from
408 differentiating among the facets of openness. For example, it may be that wisdom
409 increases with age (Baltes and Staudinger, 2000), but traits like creativity, openness
410 to feelings, and openness to ideas may decrease.

411 The previous sections focused on whether or not personality changes throughout
412 the life course. Although the findings were not unequivocal, they do suggest that
413 personality continues to show some changes throughout the life course. However,
414 the research we have reviewed so far does not provide a direct test of the classical
415 trait perspective. Recently, Srivastava et al. (2002) tested two hypotheses related
416 to the classical trait perspective using data from a large cross-sectional sample
417 of individuals ranging in age from 21 to 60: (1) there is no change in personality
418 after age 30 (i.e. the slope after age 30 is not significantly different from zero), and
419 (2) change after age 30 is smaller than change prior to age 30 (i.e. the slope for
420 ages 31–60 is significantly smaller than the slope for ages 21–30). They found
421 that change in each trait after age 30 was significantly different from zero, except
422 for neuroticism for men. Thus, they did not find support for the hypothesis that
423 traits stop changing after age 30. There was mixed support for their second
424 hypothesis that the rate of change after age 30 is slower than the rate of change prior
425 to age 30. For some traits, the rate of change after age 30 was slower
426 (conscientiousness for men and women and extraversion for men), but other traits
427 showed an increase in rate of change after age 30 (agreeableness for men and
428 women and openness for men), and others showed an equal rate of change before
429 and after age 30 (neuroticism for men and women and openness and extraversion
430 for women).

431 In conclusion, our review of the literature on mean-level changes in personality
432 leads us to conclude that personality continues to change throughout the life
433 course, however the rate of change may slow after early adulthood. Although
434 there were some contradictory findings for each trait, we can nonetheless reach
435 some tentative conclusions about the general pattern of change. Overall, the research
436 suggests that extraversion decreases and agreeableness and conscientiousness
437 increase from early childhood to old age. Neuroticism increases in early childhood,
438 decreases from adolescence to midlife, and appears to plateau or increase into old
439 age. The results for openness to experience were too mixed to draw any conclusions
440 about its normative trajectory.

441 Overall, age-related changes in personality generally replicate across
442 gender, country, and study design. This consistency lends strength to the argu-
443 ment that the observed normative changes are maturational and not the result
444 of social influences. For example, results based just on cross-sectional studies
445 confound age and cohort and results based just on longitudinal studies confound
446 age and time of measurement. The combination of both types of studies affords
447 the most robust test of whether personality demonstrates coherent patterns of
448 change with age (Schaie, 1965). That is, finding consistency across cross-sectional
449 and longitudinal studies lends confidence to the interpretation that the change
450 observed is attributable to age, not cohort or time of measurement effects.

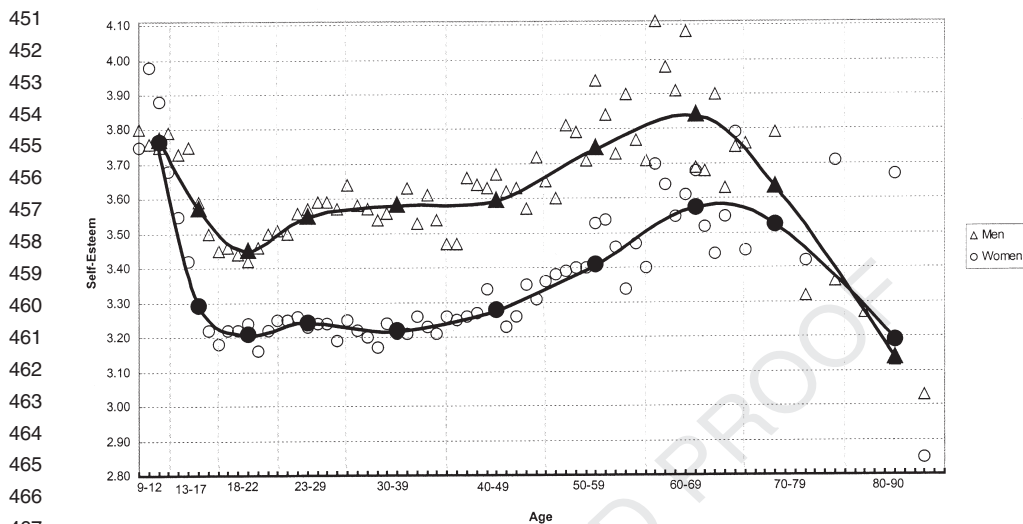


Fig. 2

2.2. Self-esteem

Researchers have long debated whether self-esteem shows normative age changes. Wylie (1979) initiated this debate with her influential review of the self-esteem literature. She concluded that there are no systematic age differences in self-esteem. Although this conclusion has been widely debated (e.g. McCarthy and Hoge, 1982; O'Malley and Bachman, 1983; Rosenberg, 1986; Demo, 1992; Twenge and Campbell, 2001), these debates have failed to lead to any consensus about the normative development of self-esteem.

To obtain a more comprehensive picture of normative age differences in self-esteem, we review the findings from two recent studies of self-esteem development, a meta-analysis of 86 published articles (Trzesniewski et al., 2001) and a large, cross-sectional study ($N = 326,641$) of individuals aged 9 to 90 (Robins et al., 2002). The two studies generally converged in their findings, and together help clear up inconsistencies in the extant literature and suggest several conclusions about the way self-esteem develops from childhood to old age. Below we describe the findings within developmental periods (childhood, adolescence, adulthood, old age). Figure 2 shows the trajectory of self-esteem across the life course, separately for males and females, based on findings from the cross-sectional study.

2.2.1. Childhood

Both studies indicated that young children have relatively high self-esteem, which gradually declines over the course of childhood. The meta-analysis showed a moderate drop in self-esteem between ages 7 and 8 and ages 8 and 9 (1/3 and 1/4 standard deviation, respectively), followed by smaller yearly drops between the ages of 9 and 12. Beginning at age 9, the cross-sectional study showed that children (aged

496 9–12) rated themselves well above the scale midpoint and higher than during any
497 subsequent period in the life span.

498 Some researchers have speculated that children have high self-esteem because it is
499 artificially inflated, and that the subsequent decline reflects an increasing reliance on
500 more realistic information about the self (Harter, 1998). For example, as children
501 develop cognitively, they begin to base their evaluations of self-worth on external
502 feedback and social comparisons, which may produce more accurate judgments of
503 where they stand in relation to others (Ruble et al., 1980). It is also possible that as
504 children transition from preschool to elementary school they experience more
505 negative feedback from teachers, parents, and peers, and their self-evaluations
506 correspondingly become more negative (Eccles et al., 1993).

507

508 2.2.2. *Adolescence*

509 The decline in self-esteem that began during childhood continues into adolescence,
510 producing a substantial cumulative drop. For example, the meta-analysis revealed a
511 one full standard deviation drop when 7- and 8-year-olds are compared to 13- and
512 14-year-olds. Similarly, the cross-sectional study showed a half standard deviation
513 drop from its high point at age 10 to its low point at age 17.

514 The adolescent drop in self-esteem appears to be particularly robust. It
515 replicates across gender, although it is much more pronounced for girls, across
516 several ethnic groups (Blacks, Whites, Latinos, Asians), and across U.S. and non-
517 U.S. citizens. Researchers have attributed the adolescent decline in self-esteem to
518 maturational changes associated with puberty, cognitive changes associated with the
519 emergence of formal operational thinking, and socio-contextual changes associated
520 with the transition from grade school to junior high school (Simmons et al., 1979;
521 Wigfield et al., 1991; Harter, 1998). Although our findings do not point to any
522 particular explanation for why self-esteem declines during adolescence, they do raise
523 questions about the validity of certain theoretical explanations. For example, the
524 claim that the decline represents the transition from grade school to junior high
525 school needs to be reconciled with the fact that the decline also occurs for non-U.S.
526 participants whose educational systems may not involve such transitions.

527

528 2.2.3. *Adulthood*

529 The meta-analysis included few studies that examined self-esteem during
530 adulthood. These studies showed slight increases from young adulthood to middle
531 adulthood and slight decreases from middle adulthood to old age (up to age 87).
532 The findings from the cross-sectional study replicated the gradual increase
533 throughout adulthood. In addition, the cross-sectional study showed that adult
534 self-esteem peaked during late adulthood. Thus, other than childhood, the mid-60s
535 seem to represent the apex of self-esteem across the life course.

536 General theories of adult development provide some explanation for why self-
537 esteem peaks during midlife. Erikson (1968), Jung (1958), Neugarten (1967),
538 Levinson (1978), and others have theorized that mid-life is characterized by a focus
539 on activity, achievement, power, and control. For example, Erikson suggested that
540 the maturity and superior functioning associated with mid-life is linked to

541 the “generativity” stage, during which individuals tend to be increasingly productive
542 and creative at work, while at the same time promoting and guiding the next
543 generation. Similarly, [Mitchell and Helson \(1990\)](#) described the latter part of midlife
544 as a period characterized by higher levels of psychological maturity and adjustment,
545 and noted that during the post-parental period “the energy that went to children is
546 redirected to the partner, work, the community, or self-development” (p. 453). Role
547 theories of aging suggest that over the course of adulthood individuals increasingly
548 occupy positions of power and status, which might convey a sense of self-worth
549 ([Sarbin, 1964](#); [Dannefer, 1984](#); [Helson et al., 1984](#); [Hogan and Roberts, in press](#)).
550 As [Gove et al. \(1989\)](#) noted, “during the productive adult years, when persons
551 are engaged in a full set of instrumental and social roles, their sense of self will reflect
552 the fullness of this role repertoire... there will be high levels of instrumentality,
553 competitiveness, and socioemotional support. Levels of life satisfaction and self-
554 esteem will also be high” (p. 1122).

555

556 2.2.4. *Old age*

557 The meta-analysis did not turn up enough studies of self-esteem during old age
558 to be informative. However, the cross-sectional study showed that self-esteem
559 dropped substantially beginning around age 70. Interestingly, the majority of the
560 decline occurred between the 70s and 80s (about one-third of a standard deviation),
561 rather than between the 60s and 70s (about one-tenth of a standard deviation). By
562 the 80s, self-esteem levels were as low as those found during adolescence.
563 Nonetheless, self-esteem levels in the oldest age groups still averaged above the
564 midpoint of the scale, and only 26% of the 70 to 90-year-olds reported low self-
565 esteem (either a 1 or a 2).

566 Old age involves a number of changes that might contribute to declines in
567 self-esteem, including spousal loss, decreased social support, declining physical
568 health, cognitive impairments, an increase in elder abuse, and a downward shift in
569 socioeconomic status. However, several theories of aging suggest an alternative view.
570 [Jung \(1958\)](#), [Erikson \(1968\)](#), [Neugarten \(1967\)](#), [Levinson \(1978\)](#), [Baltes and Mayer \(1999\)](#),
571 and others hold that persons in old age tend to be wiser and more
572 comfortable with themselves. According to [Erikson \(1968\)](#), the final stage of life is a
573 time of “post-narcissistic love of the human ego – not of the self – as an experience
574 which conveys some world order and spiritual sense” (p. 81). Erikson thus provides
575 an alternative interpretation of the self-esteem drop: it is not that deep-seated
576 feelings of self-worth are declining in old age, but rather that older persons
577 increasingly accept their faults and limitations and correspondingly have a
578 diminished need for self-promotion and self-aggrandisement, which might artificially
579 boost reports of self-esteem earlier in life. This suggests that during old age defense
580 mechanisms such as denial might no longer inflate feelings of self-worth. Thus, the
581 decline in self-esteem might not be part of a larger pattern of deteriorating emotional
582 health in old age, but rather a specific shift in self-conceptions that contributes to a
583 more modest, humble, and balanced view of the self.

584 Overall, our review of the literature on personality and self-esteem development
585 suggests that these characteristics generally change in a positive direction, at least

586 over the first 40 years of adulthood. Once people emerge from adolescence, they
587 become warmer, more responsible, more emotionally stable, and more confident as
588 they progress through young adulthood and enter middle age. However, it is
589 important to note that this positive portrait may not hold into old age. As the
590 findings for neuroticism and self-esteem suggest, the direction of change shifts after
591 age 60 or 70. During this latter part of life, neuroticism increases and self-esteem
592 decreases. However, these findings are based on a small set of studies and strong
593 conclusions cannot be made. Thus, more research is needed beyond age 60 to better
594 understand how we grow throughout our life span. Importantly, these findings show
595 that personality characteristics, long thought to be immutable, not only change, but
596 also continue to develop later in the life course than most theorists suggested.
597 It appears that development does not end with the advent of adulthood.

598

599

600 **3. Socio-contextual factors that influence personality and self-esteem change**

601

602 Our review of the literature demonstrates that personality and self-esteem are
603 stable individual-difference constructs that show systematic change across the life
604 course. We found that as people move through adulthood, they become less sociable,
605 more agreeable, more responsible, less anxious and neurotic, and more confident and
606 secure.

607 These patterns reflect changes that occur at the group level. However, based on
608 different life experiences, individuals may vary in the extent to which they experience
609 these changes, producing individual differences in change. That is, factors in addition
610 to chronological age, such as key social roles and events, define and shape
611 one's position in the life course, and thereby determine the way personality and
612 self develop (Caspi and Roberts, 2001). When these factors are non-age-dependent
613 (e.g. divorce) or non-normative (e.g. early death of a spouse), they will differentially
614 impact people's life trajectories and thus produce individual differences in
615 intra-individual change.

616 From a sociogenic perspective, the social structure is, in part, responsible for
617 personality and self-esteem development; that is, psychological change results from
618 the way a person interfaces with society through their ongoing participation in social
619 roles (Aldwin and Levenson, 1994; Caspi and Roberts, 1999). There is now a
620 growing body of research demonstrating non-trivial relationships between changes
621 in personality and self-esteem and sociogenic factors. The two main sociogenic
622 factors associated with personality and self-esteem change are work and relationship
623 experiences.

624

625

626 *3.1. Work experiences*

627

628 Individuals are assumed to change their personality and self-conceptions as they
629 learn the norms associated with their work roles (Sarbin, 1964). In addition, many
630 self-esteem theories suggest that mastery experiences in work and achievement

631 domains contribute to feelings of self-worth, whereas failure experiences negatively
632 impact self-esteem (Coopersmith, 1967; Rosenberg, 1979; Covington, 1992;
633 Dweck, 1999; Harter, 1999). Thus, over time, positive experiences at work are
634 likely to engender higher levels of conscientiousness and self-esteem. Previous
635 longitudinal research generally supports this assumption. For example, having a
636 high status job predicts increased dependability and responsibility (e.g. Elder, 1969;
637 Roberts, 1997) and increased self-esteem (Bachman and O'Malley, 1977; Owens,
638 1994; Elliott, 1996). In addition, work satisfaction is associated with decreases in
639 neuroticism (Roberts and Chapman, 2000) and increases in self-esteem (Mortimer
640 et al., 1982).

641

642

643 3.2. *Relationship experiences*

644

645 Individuals may change their personality traits based on feedback they receive in
646 their social roles from peers, which is one of the essential ideas of symbolic
647 interactionism (Stryker and Statham, 1985). Moreover, self-esteem is generally
648 believed to derive in part from feeling loved and accepted by others (Mead, 1934;
649 Rogers, 1959; Coopersmith, 1967; Rosenberg, 1986; Felson, 1989; Leary and Downs,
650 1995; Harter, 1999). For example, according to Murray et al.'s (2000) dependency
651 model, how you feel about yourself is regulated by how you think your close
652 relationship partner feels about you. Thus, satisfying and supportive relationships
653 should promote feelings of self-worth. Consistent with this, several longitudinal
654 studies have shown that healthy social relationships prospectively predict
655 increasing emotional stability and self-esteem. For example, positive relationship
656 experiences (e.g. low marital tension, high marital satisfaction) are related to
657 decreases in neuroticism and increases in self-esteem (Andrews and Brown, 1995;
658 Roberts and Chapman, 2000). Similarly, positive relationships with family and
659 peers is related to better adjustment during difficult transitions, such as the transition
660 to junior high school and from college to the work force (e.g. Mortimer et al.,
661 1982; Fenzel, 2000).

662 Consistent with these findings, Robins, Caspi, and Moffitt (in press) found that
663 relationship experiences during young adulthood could serve as a catalyst for
664 personality change. Young adults in dissatisfying and abusive relationships became
665 more anxious, angry, and alienated over time. In contrast, young adults who
666 remained in a stable relationship during their 20s became more cautious and
667 restrained in their thoughts, feelings, and behaviors. This finding provides a plausible
668 causal account for a particular intra-individual developmental pathway. Impulse
669 control tends to increase in young adulthood, but the reason for this change is not
670 fully understood (Roberts et al., 2001). Robins et al.'s findings suggest that settling
671 down in an intimate relationship may be a contributory cause. It may be that the
672 norms, expectations, and sex-role stereotypes associated with intimate relationships
673 create an environmental press for a more controlled, cautious, and traditional
674 approach to life. Likewise, the finding that individuals in unhappy relationships tend
675 to become more hostile, anxious, and alienated over time dovetails with recent

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676 research on depression. Negative relationship experiences – including dissatisfaction
677 (Whisman and Bruce, 1999) and dissolution (Monroe et al., 1999) – increase the risk
678 of depression. However, the mediating mechanism remains to be understood.
679 Repeated acts of aggression, recurrent negative emotional states, and other aversive
680 experiences that chronically occur in maladaptive intimate relationships may
681 increase an individual's disposition toward negative emotionality, which is a risk
682 factor for depression (Krueger, 1999).

683 One additional sociogenic factor, historical context, has been long identified as a
684 critical influence on personality development (Stewart and Healy, 1989). Different
685 historical periods bring different opportunities, values, and social roles that are
686 thought to influence the personalities of individuals living through those times
687 (Baltes and Nesselroade, 1972; Twenge, 2001). Elder's (1979) study of the Great
688 Depression is a classic example of how pervasive deprivation had differential
689 developmental influences on the personality development of younger vs. older
690 children. More recent research has demonstrated the influence of modern historical
691 phenomena. For example, Agronick and Duncan (1998) investigated the personality
692 changes associated with the perceived importance of the women's movement of
693 the 1960s and 1970s. Women who felt that the women's movement was
694 important showed increases in social poise and self-assurance and decreases in
695 several measures of norm-adherence. Roberts and Helson (1997) explored the
696 antecedents and consequences of changes in culture or climate that occurred in the
697 United States between 1950 and 1985, described as the "culture of narcissism."
698 They found that changes in cultural climate were associated with increases in
699 narcissism and decreases in social responsibility (e.g. decreasing psychological
700 maturity). Similarly, Twenge and Campbell (2001) found that college students'
701 self-esteem rose between 1968 and 1994, a time during which a "culture of self-
702 worth" presumably emerged.

703 These studies demonstrate that changes in personality and self-esteem are
704 associated with experiences in careers, marriage, and the culture at large. Several
705 aspects of these studies are worth highlighting. It seems apparent that certain life
706 experiences are associated with increases in traits related to the functional definition
707 of maturity. People who achieve more in work and remain in stable relationships
708 become more conscientious (Roberts, 1997; Robins, Caspi, and Moffitt, in press).
709 In addition, people who have satisfying jobs and marriages tend to increase in
710 emotional stability and gain self-esteem (Roberts and Chapman, 2000; Robins,
711 Caspi, and Moffitt, in press). It should also be noted that life experiences can
712 counteract the developmental trends toward maturity. For example, the culture of
713 narcissism in the United States during the 1960s, 1970s, and 1980s was associated
714 with decreases in norm-adherence – a facet of conscientiousness (Roberts and
715 Helson, 1997). Thus, although developmental changes oriented toward increasing
716 maturity may be normative, countervailing societal or other socio-contextual forces
717 may thwart these changes.

718 One problematic aspect of most research exploring the relationship between social
719 structures and personality development is the assumption that environments only
720 affect change in personality. We describe this perspective as the "exposure" model of

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721 personality development in which it is often assumed that mere exposure to the
722 social structure, role, or context will facilitate change in personality. Exposure
723 models ignore the fact that personality and social structure are often reciprocally
724 related (Kohn and Schooler, 1978, 1982; Schooler et al., 1999). Roberts, Caspi, and
725 Moffitt (2002) have proposed that the most likely effect of life experience on
726 personality development is to deepen the characteristics that lead people to those
727 experiences in the first place. Roberts, Caspi, and Moffitt (in press) characterized this
728 pattern of development as “corresponsive.” For example, individuals drawn to
729 stimulating work because of their own intellectual complexity will become more
730 intellectually complex because of their experiences. Similarly, individuals with low
731 self-esteem will seek out information and interaction partners who confirm their
732 negative self-views, just as individuals with high self-esteem will seek out contexts
733 that confirm their positive self-views (Swann, 1996). Thus, people are not rudderless
734 ships buffeted by the whims of the social context. Rather, the type of change
735 they demonstrate will often grow out of their individuality and will therefore be
736 somewhat predictable.

737 Exposure models also overlook the fact that social contexts may facilitate
738 the more ubiquitous psychological phenomenon of adulthood: consistency. We
739 believe that this more common effect of social context arises from people’s attempts
740 to build a personal niche that fits with their values, goals, and personality traits. At
741 its broadest level the personal niche is built around primary social roles found in
742 one’s marriage, career, family, and community (e.g. religious, volunteer, and leisure
743 time roles). To the extent that people can build a niche that fits with their
744 psychological profile, then psychological adjustment should be facilitated, as
745 should growth in the direction of the expectations of the social roles selected.
746 In addition, because this niche should successfully reinforce a person’s already
747 existing dispositions, there should be less need for change and thus greater levels of
748 consistency.

749 In our most recent research we tested these ideas by examining whether a person’s
750 fit with their school environment influenced the way their personality and values
751 changed during college (Roberts and Robins, 2002). Consistent with our
752 expectations, students who fit better with the school environment changed in the
753 direction of the values of the school environment, becoming more competitive and
754 more emotionally stable. Person–environment fit was also related to satisfaction with
755 college, higher levels of self-esteem, and higher overall levels of personality
756 consistency. This is one of the first studies to identify and test an environmental
757 mechanism that is simultaneously associated with both change and continuity in
758 personality over time.

759 Finally, the fact that personality change is associated with life experiences has
760 important ramifications for how one conceptualizes the field of personality
761 psychology and personality development in particular. From a theoretical
762 perspective, we must ask why personality would remain an open system that is
763 characterized by both consistency and change? What factors contribute to increasing
764 consistency? What adaptive function, if any, does malleability serve in old age?
765 Answering these questions entails a stark revision of our modal conceptualization of

766 traits that is intrinsically more dynamic (e.g. Pervin, 1994). Rather than simply
767 assuming that traits are consistent because they are traits, we need to understand the
768 processes that account for continuity and change in personality (Whitbourne, 2001).
769 From an applied perspective, we can speculate that developmental periods during
770 which stability is relatively low may be ideal targets for intervention programs
771 because it is during these times when personality and self-esteem may be particularly
772 malleable.

773
774

775 4. Conclusion

776

777 In summary, although previous research provides some insight into the
778 developmental course of personality and self-esteem, the field has not yet reached
779 consensus on the overall trajectory of these constructs across the life span. As our
780 review suggests, inconsistencies and gaps in the literature limit the conclusions that
781 can be reached about personality and self-esteem development. For example, the
782 meta-analyses revealed a lack of longitudinal studies of the rank-order stability of
783 personality and self-esteem during childhood and old age. These age groups are
784 marked by numerous maturational and interpersonal changes, and may be periods
785 of rapid transformation and instability. Thus, more longitudinal studies are needed
786 during these age groups before we fully understand the stability of personality and
787 self-esteem across the entire life span.

788 Our qualitative review of mean-level personality change also revealed
789 inconsistencies and gaps in the literature. Although we were able to discern some
790 consistent developmental changes for the Big Five dimensions, the findings were
791 often equivocal. Meta-analytic techniques are needed to more accurately quantify
792 the findings from the existing research literature, and gain a more precise picture of
793 the trajectory of personality across the life span. A meta-analysis would also help
794 identify relevant moderators. For example, the few studies that examined change
795 separately for males and females suggest that gender may be a significant moderator
796 of the developmental trajectory (e.g. extraversion increases for males and decreases
797 for females). Finally, a meta-analysis would reveal gaps in the literature. For
798 example, our qualitative review turned up only a few studies of childhood and
799 adolescent personality development. The results from a quantitative review would
800 provide a foundation for future studies of personality development, and eventually
801 contribute to a complete understanding of how personality changes from conception
802 to death.

803 The research literature provides a somewhat clearer picture of the normative
804 development of self-esteem. Self-esteem appears to be relatively high in childhood,
805 drop during adolescence, rise gradually throughout adulthood, and then decline
806 sharply in old age. However, the findings for old age were based on relatively few
807 studies. Moreover, the bulk of the self-esteem literature relies on non-representative
808 samples of particular age cohorts. An important direction for future research is to
809 examine age differences in self-esteem across the entire life span, particularly into old
810 age, using a cross-sequential design and more representative samples. By following

811 multiple cohorts over time, we could tease apart aging and cohort effects and
812 thus determine if the old age drop is (a) replicable and (b) due to maturational or
813 cohort effects. Moreover, future studies could begin to ask what are the mechanisms
814 of self-esteem change and identify the factors that promote or diminish self-esteem
815 across the life span.

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